

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Warnock et al

Docket No. EBRY0001

Serial No.: 09/498,944

Art Unit: 3621

Date Filed: 02/04/2000

Examiner: Le, Nancy L. T.

Title: METHOD AND APPARATUS FOR IMPROVED INFORMATION TRANSACTIONS

Date: 16 June 2006

Assistant Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

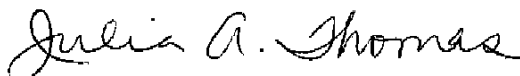
- ☐ under 37 CFR 1.97(b), or
(within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:
 - ☐ Certification under 37 CFR 1.97(e), or
 - ☐ a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☒ under 37 CFR 1.97(d) together with a:
 - ☒ Certification under 37 CFR 1.97(e), and
 - ☒ a \$180.00 fee under 37 CFR 1.17(d)(2)(ii), and
 - ☒ a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1)
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)
- ☒ The Commissioner is authorized to charge any additional fees or credit any overpayment to Deposit Account No. 07-1445 (Order No. EBRY0001). A duplicate copy of this paper is attached for accounting purposes.

(X) Applicant(s) submit herewith Form PTO SB/08 -- Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.25.

() A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 156(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

Respectfully Submitted,

A handwritten signature in cursive script that reads "Julia A. Thomas".

JULIA A. THOMAS
Reg. No. 52,283

Customer No. 22862

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Warnock et al

Docket No. EBRY0001

Serial No.: 09/498,944

Art Unit: 3621

Date Filed: 02/04/2000

Examiner: Le, Nancy L. T.

Title: METHOD AND APPARATUS FOR IMPROVED INFORMATION TRANSACTIONS

Date: 16 June 2006

Assistant Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.97(b), or
(within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:
 - ☐ Certification under 37 CFR 1.97(e), or
 - ☐ a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☒ under 37 CFR 1.97(d) together with a:
 - ☒ Certification under 37 CFR 1.97(e), and
 - ☒ a \$180.00 fee under 37 CFR 1.17(d)(2)(ii), and
 - ☒ a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1)
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

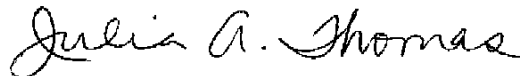
☒ The Commissioner is authorized to charge any additional fees or credit any overpayment to Deposit Account No. 07-1445 (Order No. EBRY0001). A duplicate copy of this paper is attached for accounting purposes.

(X) Applicant(s) submit herewith Form PTO SB/08 -- Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.25.

() A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 156(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

Respectfully Submitted,



JULIA A. THOMAS
Reg. No. 52,283

Customer No. 22862

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	09/498,944
Filing Date	02/04/2000
First Named Inventor	Warnock et al
Art Unit	3621
Examiner Name	Le, Nancy L. T.
Attorney Docket Number	EBRY0001

Sheet 1 of 1

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	a	US- 5,848,184	Dec 8, 1998	Taylor et al	
	b	US- 2003/185448 A1	Oct 2, 2003	Seeger et al	
	c	US-			
	d	US-			
	e	US-			
	f	US-			
	g	US-			
	h	US-			
	i	US-			
	j	US-			
	k	US-			
	l	US-			
	m	US-			
	n	US-			
	o	US-			
	p	US-			
	q	US-			
	r	US-			
	s	US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
	AA	EP 0 881 591 (B1)	Sep 10, 2003	Adobe Systems, Inc.		
	BB	EP 0 881 592 (B2)	Oct 23, 2002	Xerox Corporation		
	CC	JP 2001-175807	Jun 29, 2001	Xerox Corporation		
	DD					
	EE					
	FF					

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND

TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

In The United States Patent and Trademark Office

In re application of: Warnock et al

Docket No. EBRY0001

Serial No.: 09/498,944

Art Unit: 3621

Date Filed: 02/04/2000

Examiner: Le, Nancy L. T.

Title: METHOD AND APPARATUS FOR IMPROVED INFORMATION
TRANSACTIONS

Date: 16 June 2006

Assistant Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**CERTIFICATION FOR
INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.97(e)**

Dear Examiner:

A certification is being made for the Information Disclosure Statement
accompanying this certification.

CERTIFICATION

I, the person signing below, certify

☒ (x) that each item of information contained in the information disclosure
statement was cited in a communication from a foreign patent office in a counterpart
foreign application not more than three months prior to the filing of the statement. (37
CFR 1.97(e)(1))

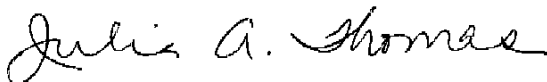
☐ () that no item of information contained in the information disclosure
statement was cited in a communication from a foreign patent office in a counterpart

foreign application or to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 CFR 1.97(e)(2).

The person making this certification is the attorney who signs below on the basis of the information:

- ☐ supplied by the inventor(s)
- ☐ supplied by an individual designated in §1.56(c)
- ☒ in the attorney's file

Respectfully submitted,

A handwritten signature in cursive script that reads "Julia A. Thomas".

JULIA A. THOMAS
Reg. No. 52,283

Customer Number 22862